| Electronic Acknowledgement Receipt   |                                      |  |  |  |
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| EFS ID:                              | 3628863                              |  |  |  |
| Application Number:                  | 10560268                             |  |  |  |
| International Application Number:    |                                      |  |  |  |
| Confirmation Number:                 | 3309                                 |  |  |  |
| Title of Invention:                  | Aav vector for gene therapy          |  |  |  |
| First Named Inventor/Applicant Name: | Jurgen Kleinschmidt                  |  |  |  |
| Customer Number:                     | 27194                                |  |  |  |
| Filer:                               | Adam Kurt Whiting./Sherry Castro     |  |  |  |
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| Application Type:                    | U.S. National Stage under 35 USC 371 |  |  |  |

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## File Listing:

| Document<br>Number | Document Description | File Name                                    | File Size(Bytes)<br>/Message Digest | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------|----------------------|--|-------------------------------------|---------------------|---------------------|
| 1                  |                      | Amendment.pdf                                | 242973                              | - ves               |                     |
| 1                  | Amenament.pai        | 4040356e3a8ce82b92a681d3980b570<br>ef355f1c9 | '                                   |                     |                     |

|           | Multipart Description/PDF files in .zip description |       |     |  |
|-----------|---|-------|-----|--|
|           | Document Description                                | Start | End |  |
|           | Amendment - After Non-Final Rejection               | 1     | 1   |  |
|           | Claims  | 2     | 2   |  |
|           | Applicant Arguments/Remarks Made in an Amendment    | 3     | 8   |  |
| Warnings: |   | •     |     |  |

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.